

CONFLICT-OF-INTEREST CODE FOR THE  
SOUTHERN CALIFORNIA ASSOCIATION OF GOVERNMENTS

The Political Reform Act (Cal. Govt. Code §81000, *et seq.*) requires state and local government agencies to adopt and promulgate conflict-of-interest codes. The Fair Political Practices Commission has adopted a regulation (2 Cal. Code of Regs. §18730) that contains the terms of a standard conflict-of-interest code, which can be incorporated by reference in an agency's code. After public notice and hearing, the standard code may be amended by the Fair Political Practices Commission to conform to amendments in the Political Reform Act. Therefore, the terms of 2 California Code of Regulations Section 18730 and any amendments to it duly adopted by the Fair Political Practices Commission are hereby incorporated by reference. This regulation and the attached Appendices, designating positions and establishing disclosure categories, shall constitute the conflict- of-interest code of the Southern California Association of Governments (SCAG).

Individuals holding designated positions shall file their statements of economic interests with SCAG, which will make the statements available for public inspection and reproduction. (Gov. Code § 81008.) Upon receipt of the statements for the General Assembly Members (i.e., Official Representatives/Delegates and Alternates), Regional Council Members, Policy Committee Members (i.e. the Community Economic and Human Development Committee, the Transportation Committee, and the Energy and Environment Committee), and the Executive Director, SCAG shall make and retain copies and forward the originals to the Fair Political Practices Commission.

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**Appendix A**

<b>Designated Position</b>	<b>Assigned Disclosure Category</b>
General Assembly Members (Official Representatives/Delegates and Alternates)	1
Regional Council Members (including ex officio members)	1
Policy Committees (TC, EEC, CEHD):	
Transportation Committee (TC) Members	1
Energy and Environment Committee (EEC) Members	1
Community, Economic and Human Development Committee (CEHD) Members	1
Accountant	3
Assistant Regional Planner	3
Budget and Grants Analyst	3, 7
Chief Operating Officer	1
Chief Strategy Officer	1
Communications Supervisor	3
Community Engagement Specialist	3
Contracts Administrator	3
Deputy Clerk of the Board	3
Deputy Director (Division)	3
Executive Director	1
General Counsel (consultant, see “Note”)	1
Chief Counsel/ Director of Legal Services	1
Chief Information Officer/Director of Information Technology Division	1
Deputy Legal Counsel	1
Chief Planning Officer/ Director of Planning Division	1
Chief Government and Public Affairs Officer/Director of Policy & Public Affairs	1
Facilities Supervisor	3, 4
Clerk of the Board	3
Internal Auditor	1
Manager of Integrated Planning and Programming	3
Manager of IT Application Development & Support	3
Manager of Legislation	3
Manager of Mobility Planning and Goods Movement	3
Manager of Modeling and Forecasting	3
Manager of Sustainable and Resilient Development	3
Manager of Budget and Grants	2, 7
Manager of Planning Strategy	3
Manager of Government Affairs	3
Manager of Media and Public Affairs	3
Manager of Contracts	2
Chief Human Resources Officer	1, 5
Manager of Infrastructure and Operations	3, 4
Manager of Housing and Economic Empowerment	3
Manager of Inclusive Economic Growth	3
Manager of Partnership for Innovative Deployment	3
Planning Administration Officer	3

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Principal Accountant	2, 6
Applications Administration Supervisor	3
Principal Budget and Grants Analyst	2, 7
GIS Applications Supervisor	3
IT PMO Supervisor	3
Audio/Visual Supervisor	3
Principal Contracts Administrator	2
Principal Human Resources Analyst	3
Principal Planner	3
Planning Supervisor	3
Senior Accountant	2
Senior Budget and Grants Analyst	2, 7
Senior Contracts Administrator	3
Senior Economist	3
Senior Deputy Legal Counsel	1
Senior IT Quality Assurance Analyst	3
Senior Regional Planner	3
Special Events Producer	3
Modeling Supervisor	3

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**Appendix A-Continued**

Consultant/New Position

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Note: The position of General Counsel is filled by an outside consultant, but acts in a staff capacity.

\*Consultants/New Positions shall be included in the list of designated positions and shall disclose pursuant to the broadest disclosure category in the code, subject to the following limitation:

The Executive Director may determine in writing that a particular consultant or new position, although a "designated position," is hired to perform a range of duties that is limited in scope and thus is not required to fully comply with the disclosure requirements described in this section. Such written determination shall include a description of the consultant's or new position's duties and, based on that description, a statement of the extent of the disclosure requirements. The Executive Director's determination is a public record and shall be retained for public inspection in the same manner as this conflict-of-interest code. (Gov. Code Sec. 81008.)

The following positions are NOT covered by the conflict-of-interest code because they must file under Government Code Section 87200 and, therefore, are listed for informational purposes only:

Chief Financial Officer/Director of Finance Division  
Manager of Accounting

An individual holding one of the above listed positions may contact the Fair Political Practices Commission for assistance or written advice regarding their filing obligations if they believe that their position has been categorized incorrectly. The Fair Political Practices Commission makes the final determination whether a position is covered by Government Code Section 87200.

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**Appendix B**  
**Disclosure Categories**

1. A designated position in this category must report all interests in real property, as well as investments, business positions in business entities and sources of income (including receipt of gifts, loans, and travel payments). This position must also disclose interests in real property located within the jurisdiction as well as interests in real property located within a two-mile radius of real property used by SCAG.
2. A designated position in this category must report investments in and income (including receipt of gifts, loans, and travel payments) from individuals and entities of the type that supply equipment, products, materials, or services (including training or consulting services) to SCAG.
3. A designated position in this category must report investments in and income (including receipt of gifts, loans, and travel payments) from individuals and entities of the type that supply equipment, products, materials, or services (including training or consulting services) to the division(s) or department(s) to which the designated position is assigned duties.
4. A designated position in this category must report all interests in real property located within the jurisdiction of SCAG and/or within a two-mile radius of any property used by SCAG.
5. A designated position in this category must report investments, business positions in business entities, and sources of income (including receipt of gifts, loans, and travel payments) from sources that have filed a claim within the last two years or have a claim pending against SCAG.
6. A designated position in this category must report investments in and income, including gifts, loans, and travel payments from entities of the type to provide auditing services.
7. A designated position in this category must report investments, business positions in business entities and sources of income (including receipt of gifts, loans, and travel payments) if the business entity or source is of the type to receive grants or other funding from or through SCAG.

This is the last page of the conflict of interest code for the .



## **CERTIFICATION OF FPPC APPROVAL**

Pursuant to Government Code Section 87303, the conflict of interest code for the  
was approved on .

This code will become effective on .

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Sukhi K. Brar

Assistant Chief Counsel

Fair Political Practices Commission